



# NICCO CORPORATION LIMITED

October 19, 2016

**The Vice President**  
**National Stock Exchange of India Ltd.**  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400 051.  
[Fax nos. 022 6641 8124/25/26 / 2659 8120]  
[Email : [cmlist@nse.co.in](mailto:cmlist@nse.co.in)]

Dear Sir,

Regulation 31 of the SEBI (LODR) Regulations, 2015

**Nicco Corporation Limited (NCL)**  
**Stock Code : NICCO ISIN No: INE241C01026**

Shareholding Pattern for the Quarter & Half Year  
ended on 30<sup>th</sup> September, 2016

As required under Regulation 31 of SEBI (LODR) Regulations, 2015, we are enclosing herewith Shareholding Pattern for the Quarter & Half Year ended on 30<sup>th</sup> September, 2016.

This is for your information and records.

Thanking you,

Yours faithfully,  
**NICCO CORPORATION LIMITED**

**RAHUL BANERJEE**  
**COMPANY SECRETARY**

Holding of specified securities

- 1 Name of Listed Entity: NICCO CORPORATION LTD
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE : 500028] [NSE: NICCO]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

30-Sep-16  
NA

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partially paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in Locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-





NICCO CORPORATION LIMITED

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eg: X eg: Y	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	16	50563205	0	0	50563205	0.00	0	0	0	36.94	0	0.25	9765725	19.31	45563205	
(B)	Public	41538	86314277	0	0	86314277	63.06	0	0	0	63.06	0	0	NA	NA	79813522	
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	NA	0	
(C1)	Shares underlying DRS	0	0	0	0	0	NA	0	0	0	0.00	0	0	NA	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	NA	0	
	<b>Total</b>	<b>41554</b>	<b>136877482</b>	<b>0</b>	<b>0</b>	<b>136877482</b>	<b>63.06</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>9765725</b>	<b>7.13</b>	<b>125376727</b>	



Table I - Summary Statement holding of specified securities



NICCO CORPORATION LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Cate gory	Category of shareholder	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlyin g Deposito Receipts	Total nos. of shares held (V)+(V)+ (VII)	Sharehold ing as a % of total no. of shares (calculated as per SCR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)-(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerial ized form	
									No of Voting Rights Class eg: X Class eg: Y	Total	Total as a % of (A+B+C)				No. (a) total Shares held (b)	As a % of total Shares held (b)	No. (a) of total Shares held (b)	As a % of total Shares held (b)		
1)	Indian																			
(a)	Individuals/Hindu undivided Family		6	29202791			29202791	21.33				29202791	21.33					400626	1.37188	24202791
1	MRS M M KAUL	AML1PK6501D		150626			150626	0.11				150626	0.11					150626	100.00	150626
2	RAJIVE KAUL	AEZPK1071M		100000			100000	0.07				100000	0.07					100000	100.00	100000
3	MRS ANJALI BHAN KAMTEKAR	AI1FPB6287G		150000			150000	0.11				150000	0.11					150000	100.00	150000
4	PALLAVI PRIVADASHAIN KAUL	AGCPK3239M		2000			2000	0.00				2000	0.00					0	0.00	2000
5	NICCO RESTRUCTURING EMPLOY TRUST FUND	AAATN6220D		5000000			5000000	3.65				5000000	3.65					0	0.00	0
5	NICCO RESTRUCTURING EMPLOY TRUST FUND	AAATN6220D		23800165			23800165	17.39				23800165	17.39					0	0.00	23800165
(b)	Central Government/ State Government(s)			0			0	0.00				0	0.00							
(c)	Financial Institutions/ Banks			0			0	0.00				0	0.00							
(d)	Body Corporates		10	21360414			21360414	15.61				21360414	15.61					9365099	43.84	21360414
1	NICCO UCO ALLIANCE CREDIT LTD	AABCN5012H		4096875			4096875	2.99				4096875	2.99					0	0.00	4096875
2	SHEKHAR INFOTECH PVT LTD	AAFC6134D		4450000			4450000	3.25				4450000	3.25					4450000	100.00	4450000
3	NICCO FINANCIAL SERVICES LTD	AAFC6134D		2380432			2380432	1.74				2380432	1.74					2380432	100.00	2380432
4	ASSOCIATED INDUSTRIAL DEV CO LTD	AA8CN0157D		863454			863454	0.63				863454	0.63					863454	100.00	863454
5	HINDUSTHAN WIRE & METAL PROD LTD	AAAC1731B		290508			290508	0.21				290508	0.21					290508	100.00	290508
6	HERCULES TRADING CORPN PVT LTD	AAACH6287R		1380705			1380705	1.01				1380705	1.01					1380705	100.00	1380705
7	KANTA BHAN PROPERTIES PVT LTD	AAACH6479H		7455			7455	0.01				7455	0.01					0	0.00	7455



