

## NICCO CORPORATION LIMITED

October 19, 2016

The Vice President
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor

Exchange Plaza, 5th Floor, Plot No. C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai 400 051.

[Fax nos. 022 6641 8124/25/26 / 2659 8120]

[Email: cmlist@nse.co.in]

Dear Sir,

Regulation 31 of the SEBI (LODR) Regulations, 2015

Nicco Corporation Limited (NCL) Stock Code: NICCO ISIN No: INE241C01026

Shareholding Pattern for the Quarter & Half Year ended on 30<sup>th</sup> September, 2016

As required under Regulation 31 of SEBI (LODR) Regulations, 2015, we are enclosing herewith Shareholding Pattern for the Quarter & Half Year ended on 30<sup>th</sup> September, 2016.

This is for your information and records.

Thanking you,

Yours faithfully,

NICCO CORPORATION LIMITED

RAHUL BANERJEE

COMPANY SECRETARY

## Holding of specified securities

## Holding of specified securities

Name of Listed Entity: NICCO CORPORATION LTD

Scrip Code/Name of Scrip/Class of Security: [BSE: 500028] [NSE: NICCO]

3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

a. If under 31(1)(b) then indicate the report for Quarter ending

If under 31(1)(c) then indicate date of allotment/extinguishment

30-Sep-16

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*
1	1 Whe her the Listed Entity has issued any partly paid up shares?	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	
3	3 Whether the Listed Entity has any shares against which depository receipts are issued?	
4	4 Whether the Listed Entity has any shares in locked-in?	Yes*
5	5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*

above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities. shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, \* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible

The tabular format for disclosure of holding of specified securities is as follows:-



	(C2) Sha	(C1) Sha	(C) Nor	(B) Public	(A) Pro	3	<b>3</b> 5	Categ Ca ory
	Shares held by EmployeeTrusts	Shares underlying DRs	Non Promoter- Non Public	olic	Promoter & Promoter Group	(II)		Table I - Summary Statement holding of specified securities  Category of shareholder (II)
	0	0	0	41538	16	(III)		Nos. of sharehold ers (III)
	0	0	0	86314277	50563205	(IV)		specified s  No. of fully paid up equity shares held (IV)
	0	0	0	0	0	(V)	2 (3.7) (6)	No. of Partly paid-up equity shares held (V)
	0	0	0	0	0	(VI)	3	No. of shares underlyi ng Deposito ry Receipts
	0	. 0	0	86314277	50563205	(IV)+(V)+(VI)		Total nos. shares held (VII) = (IV)+(V)+ (VI)
	0	NA	0	63.06	0.00	(VIII)	1957) (VIII) As a % of (A+B+C2)	NICCO Shareholdi ng as a % of total no. of shares (calculated as per SCRR,
	0	0	0	86314277	50563205		No o	areholdi Number of Voting Rights held in g as a % of securities (IX) total no. f shares ilculated as per SCRR,
>	0	0	0	0	0		No of Voting Rights ;: X Class Total eg: Y	Voting Riports of Security
-	0	0	0	86314277	50563205	(IX)	Rights	Number of Voting Rights held in each class of securities (IX)
10000	0	0	0	63.06	36.94		Total as a % of (A+B+C)	each class
	0	0	0	0	0	8	securities (including Warrants)	No. of Shares Underlyin g Outstanding convertibi
100 00	0.00	0.00	0.00	63.06	36.94	(XI)= (VII)+(X)	Total as a securities (VII)+(X) As a % of No. (a) % of (including (A+B+C2) Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=
		5					No. (a)	Nun
2	0	0	0	0	0	(XII)	As a % o total Shares held (b)	shares (XII)
000	0	0	0	0	0.25		<b>→</b>	
355350	NA	NA	NA	NA	9765725	(IIIX)	No. (a) A	Number of Shares pledged or otherwise encumbered (XIII)
7 13					19.31		As a % of total Shares held (b)	TO .
125376727	0	0	0	79813522	45563205	(VIV)		Number of equity shares held in dematerialize d form (XIV)



3 SERVICES ITD ASSOCIATED 4 INDUSTRIAL DEV CO LTD HINDUSTHAN WIRE & METAL PROD LTD HERCULES TRADING CORPN PVT LTD				+	2 SHEKHAR INFOTECH	1 NICCO UCO ALLIANCE CREDIT LTD	(d) Body Corporates	Name (xyz)	Financial Institutions/	Name (xyz)	(b) State Government(s)	NICCO S RESTRUCTURING EMPLOY TRUST FUND	NICCO S RESTRUCTURING EMPOLY TRUST FUND	PALLAVI PRIYADARSHAIN KAUL	3 MRS ANJALI BHAN KAMTEKAR	2 RAJIVE KAUL		Individuals/Hindu (a) undivided Family	1) Indian	(3)		Park In 2	Cate Category of	NICCO C
				CIAL	100		rates	.)	stitutions/	.)	rnment/ ment(s)		4.			17	٦	Hindu				older	ry of	mon+ show
	AAACH6287R	AACCA1731B	AABCN0157D	AAFCS6134D	AAFCS6134D	AABCN5012H						AAATN6220D	AAATN6220D	AGCPK3239M	AIFPB6287G	AFZPK1071M	AMLPK6501D			(ii)	Dans- Nie v		PAN	ing shareho
							10				0			-				6		1			Nos.	lding
	1380705	290508	863454	2380432	4450000	4096875	21360414				0	23800165	5000000	2000	10000	100000	150626	29202791		(IV)		paid up equity shares held	No. of fully	nattern of
								100		e e						16				3			No. of	the Prom
																	7	T(a)		( <u>S</u>	Receipts	0 3	No. of	oter and
	1380705	290508	863454	2380432	4450000	4096875	21360414				0	23800165	5000000	2000	150000	100000	150626	29202791		(VII) = (VIV)+(V)+		shares held	Total nos.	Promoter
	1.01	0.21	0.63	1.74	3.25	2.99	15.61		0.00		0.00	17.39	3.65	0.00	0.11	0.07	0.11	21.33		(VIII)	SCRR, 1957) (VIII) As a % of (A+B+C2)	ng as a % of total no. of shares (calculated as per	Shareholdi	Group
	1380705	290508	863454	2380432	4450000	4096875	21360414		0		0	23800165	5000000	2000	150000	100000	150626	29202791		are in	No of Class eg: X		Number o	NICCO CORPORATION LIMITED or Group
																					No of Voting Rights eg: X Class Total eg: Y	class of	f Voting	LIONT
	1380705	290508	863454	2380432	4450000	4096875	21360414		0		0	23800165	5000000	2000	150000	100000	150626	29202791		(IX)	Rights	class of securities	Number of Voting Rights held in each	IMITED
	1.01	0.21	0.63	1.74	3.25	2.99	15.61		0.00		0.00	17.39	3.65	0.00	0.11	0.07	0.11	21.33	3		Total as a % of (A+B+C)		in each	
																	A (A)			(X)	Warrants	Underlying Outstanding convertible securities (including	No. of Shares	
	1.01	0.21	0.63	1.74	3.25	2.99	15.61		0.00		0.00	17.39	3.65	0.00	0.11	0.07	0.11	21.33		(XI)= (VII)+(X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	% assuming full conversion of convertible securities ( as a percentage of	Shareholding, as a	
							0	,													No. (a)	S	Number	
							0.25							160						(XII)	As a % of total Shares held (b)	shares	Number of Locked in	
	1380705	290508	863454	2380432	4450000	0	9365099					0	0	0	150000	100000	150626	400626	200	(XIIIX)	No. (a)	pledged or otherwise encumbered	Number of Shares	
	100.00	100.00	100.00	100.00	100.00	0.00	43.84					0.00	0.00	0.00	100.00	100.00	100.00	1.37188			As a % of total Shares held (b)	ered	Shares	
	1380705	290508	863454	2380432	4450000	4096875	21360414	2220011				23800165	0	2000	150000	100000		2		(XIV)		shares held in dematerial ized form	Number of	

## NICCO CORPORATION LIMITED

(d) Investor Name (x) (e) DIRECTO Name (x) Sub-Tota	(d) Inv Na (e) DIF	(d) Inv Na (e) DIF	(d) Inv	(d) Inv	1	For	Na	Na	For	(a) Inc	2) Fo	Su	10		9	ο 20 π						gory	Table Cate
	Sub-Total (A)(2)	Name (xyz)	(e) DIRECTOR	Name (xyz)	estor	Foreign Portfolio	Name (xyz)	Name (xyz)	Foreign Individuals)	Individuals (Non-	Foreign	Sub-Total (A)(1)	RAJIVE KAUL (G K KHEMKA CHAIRTY TRUST)	CHARITY TRUST)	RAJIVE KAUL (J N BHAN MEMORIAL	RUCHIKA ELECTRICAL & ENGINEERS PVT LTD	(3)					shareholder	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group
Total Shareholding of Promoter and													AAATG2725Q	0.000	AAATJ3566C	AADCR1820P	(11)						owing shareh
16												16	341		*		(III)				shareh	of	olding
50563205												50563205	342890	-	171360	7376735	(IV)				shareh equity olders shares held	paid up	pattern of
0												0				mon	3	dt-	held	shares	paid-up	Partly	the Pro
0												0					(IV)	Receipts	. 7	Deposito	underlyin	shares	noter a
50563205		0	0	0	0		0	0	C		- 1	50563205	342890		171360	7376735	(VII) = (IV)+(V)+	hi sea			2	S	nd Promot
36.94			0.00									36.94	0.25	0.10	0.13	5.39	(VIII)	1957) (VIII) As a % of (A+B+C2)	scra,	(calculated	of total no.		er Group
50563205			0									50563205	342890	1,1500	171360	7376735		Class		0			
0												0						eg: X Class Total eg: Y				class o	6112
50563205			0									50563205	342890	1/1300	171360	7376735	(IX)	Total				class of securities	
36.9405			0.00									36.94	0.25	C.L.O	2	5.39	HY!	a % of (A+B+C)	+			III EdCII	
0												0			13		×		(including	securities	Outstanding	Underlying	
36.94			0.00									36 94	0.25	0.13		5.39	(XI)= (VII)+(X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	percentage of	securities ( as a	conversion of	% assuming full	
					4										-	M		No. (a)					
0 0.25											0.23	0					(XII)	As a % of total Shares held (b)				Number of Locked in shares	
9765725											2/03/23		0	0		0	(XIII)	No. (a)			encumbered	σ	
19.31											15.51		0.00	0.00		0.00	=	As a % of total Shares held (b)			bered	Number of Shares ledged or otherwise	
45563205											45563205	4	342890	171360		7376735	(XIV)		ized form	held in		Number of	

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	Sub-Total (B)(3)	Name (xyz)	(0)	Category of shareholder	<ul> <li>III - Statement showing shareholding pattern of the Public shareholder</li> </ul>
	1		(11)	PAN	attern of the Public
41538	41481	100	(III)	Nos. of shareholders	hareholder
86314277	84070321		(N)	Nos. of No. of Fartly No. of shares sharesholders paid up equity paid-up sharesholders paid up equity shares held equity shares held Receipts (calculated as per SCRR, 1957) (VIII)  As a % of (A+B+C2)  No. of Partly No. of shares Total nos. Shareholdin Number of Voting Rights held in each class of securities securities  sharesheld g as a % of calculated as per SCRR, 1957) (VIII)  Number of Voting Rights held in each class of securities  securities  Total as a % of (A+B+C2) eg: Y	
0	0		3	No. of Partly paid-up equity shares held	
0	0		(N)	No, of shares  underlying shares held g as a % of Depository total no, of Receipts (calculated as per 5CRR 1957) (VIII)  As a % of (A+B+C2)	NICCO
86314277	84070321		(IV)+(V)+ (V))=	Total nos. shares held	NICCO CORPORATION LIMITED
63.06	61.42		(IIII)	Shareholdin g as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	ATION LI
86314277	84070321			Number of Votin	MITED
0	0			er of Voting Rights is securities.  No of Voting Rights.  (: X Class Total eg: Y	
86314277	84070321		(ix)	g Rights held in easecurities	
63.06	61.42			0.	
0	0		(X)		
63.06	61.42		(XI)= (VII)+(X)	No. of Shareholding, as In Shares a % assuming full Underlying conversion of sourcettible securities (as a securities percentage of (including diluted share (mil) (XI)= (a) (XI) As a % of (A+B+C2)	
0	0		Q	700	
0	0		(XII)	Number of Nucceed in shares (XII) plants of Nucceed in shares (XII) or on total (a) Shares held (b)	
NA	NA	NA	(XIII)	Shares edged or therwise cumbered (XIII)  As a % of total Shares held (b)	
79813522	78225397		(VIV)	Number of equity shares held in dematerialized form (XIV)	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,



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Table IV - Statement snowing snareholding pattern of the Non Promoter- Non Public Statemorder	narenoidi	ng pattern	of the Mon	Promoter-	NON PUBL	ic stidietion	iei	1								
Category of shareholder	PAN (II)	Nos. of	_	No. of fully No. of Partly	No. of	Total nos.	Shareholding as a % of	Z	Number of Voting Rights each class of securities	of securi	nts held in ties (IX)	No. of Shares Underlying	Shareholding, as a %	E N	Number of Locked in	Shares
*		s (III)	S	S	underlying Depositor y Receipts (VI)	underlying (VII) = Depositor (IV)+(V)+ (VI) y Receipts (VI)	total no. of shares (calculated as per SCRR.					Outstanding convertible securities (including	assuming full conversion of convertible securities ( as a	sh	shares (XII)	pledged or otherwise encumbered (XIII)
	Ť	4			(41)		1957) (VIII)	No	No of Voting Rights	Rights	Total as	Warrants) (X)	percentage of diluted share	No.	% of	No.
					in.		As a % of (A+B+C2)	Class eg: X	Class eg: Y	Total	a % of (A+B+C)		capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a)	Shares held (b)	(a) of total Shares held (b)
(1)	(3)	(III)	(۱۷)	3	(V)	(VII) =	(VIII)			Ŝ		×	(XI)= (VII)+(X)		(XII)	
1) Custodian/DR Holder					No.											NA
					100											
(a) available)		4														
(i) abc,,,																NA
(ii) efg			- Direction													NA
Sub-Total (C)(1)		0	0	0	0	0	0		0	0	0 0	0	0		0	NA
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula													b			NA
(a)							IIA A MI									
Sub-Total (C)(2)		0	0	0	0	0	0		0	0	0 0	0	0		0	
Total Non-Promoter- Non Public Shareholding $(C)=(C)(1)+(C)(2)$		0	0	0	0	0	0		0	0	0	0	0	_	0	

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

