

Holding of specified securities

- 1 Name of Listed Entity: NICCO CORPORATION LTD
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE : 500028] [NSE: NICCO]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Dec-16

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

NICCO CORPORATION LIMITED

Table I - Summary Statement holding of specified securities

Date (I)	Category of shareholder (II)	Nos. of shares (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underly- ing Deposito- ry Receipts (VI)	Total nos. of shares held (VII) = (III)+(IV)+(V) (VI)	Sharehold- ing as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+CZ)	Number of Voting Rights held in each class of securities (IX)			No. of Shares & Outstand- ing convertible securities (as a percentage of authorized share capital) (XI) = (VII)-(X) as a % of (A+B+CZ)	Number of locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerial- ized form (XIV)		
								No. of Voting Rights Class eg: X	Class eg: Y	Total (A+B+C)						
(A)	Promoter & Promoter Group	16	50563205	0	0	50563205	0.00	50563205	0	50563205	36.94	0	0.25	9765725	19.31	45563205
(B)	Public	41491	86314277	0	0	86314277	63.06	86314277	0	86314277	63.06	0	0	NA	79814441	0
(C)	Non Promoter- Non Public	0	0	0	0	0	NA	0	0	0	0	0	0	NA	0	0
(C1)	Shares underlying DBs	0	0	0	0	0	NA	0	0	0	0	0	0	NA	0	0
(C2)	Shares held by Employee/Trusts	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	0
	Total	41507	136877482	0	0	136877482	63.06	136877482	0	136877482	100.00	0	0.00	9765725	7.13	125377646

NICCO CORPORATION LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sl. No.	Category of Shareholder	PAN	No. of fully paid-up equity shares held	No. of partly paid-up equity shares held	No. of Depository Shares held	Total nos. shares held	Shareholding as a % of total no. of shares (excluding as per Section 155) (VII) (A+B+C)	Number of Voting Rights class of securities			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	As a % of total Shares held (b)	Number of equity shares held in dematerialized form	
								Class X	Class Y	Total				(a)	(b)				
1)	Indian																		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (vi)+(v)			(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)				
	Individuals/Hindu Undivided Family		6																
1	MRS M M KAUL	AMLUK6501D	150626			150626	21.33	29202791		29202791	21.33		21.33			400626	1.37188	29202791	
2	RAJIVE KAUL	AFZPK1071M	100000			100000	0.07	100000		100000	0.07		0.07		100000	100.00	100000		
3	MRS ANJALI BHAN KAMTEKAR	AF986Z78G	150000			150000	0.11	150000		150000	0.11		0.11		150000	100.00	150000		
4	PALLAVI PRIYADARSHINI KAUL	AGSPK3239M	2000			2000	0.00	2000		2000	0.00		0.00		0	0.00	2000		
5	NICCO RESTRUCTURING EMPLOY TRUST FUND	AAATN62200	5000000			5000000	3.65	5000000		5000000	3.65		3.65		0	0.00	0		
5	NICCO RESTRUCTURING EMPLOY TRUST FUND	AAATN62200	23800165			23800165	17.39	23800165		23800165	17.39		17.39		0	0.00	23800165		
	(b) Central Government/ State Government(s)		0	0		0	0.00	0		0	0.00		0.00						
	Name (Pan...)																		
	(c) Financial Institutions/ Banks						0.00	0		0	0.00		0.00						
	Name (Pan...)																		
	(d) Body Corporates		10			21360414	15.61	21360414		21360414	15.61		15.61		0	0.25	9365099	43.84	21360414
1	NICCO VECO ALLIANCE CREDIT LTD	AA8CK5012M	4096875			4096875	2.99	4096875		4096875	2.99		2.99		0	0.00	4096875		
2	SHEKHAR INFOTECH PVT LTD	AAFS6134D	4450000			4450000	3.25	4450000		4450000	3.25		3.25		4450000	100.00	4450000		
3	NICCO FINANCIAL SERVICES LTD	AA8CN0157H	2380432			2380432	1.74	2380432		2380432	1.74		1.74		2380432	100.00	2380432		
4	ASSOCIATED INDUSTRIAL DEVELOPMENT LTD	AAACA1791B	863454			863454	0.63	863454		863454	0.63		0.63		863454	100.00	863454		
5	HINDUSTHAN WIRE & METAL PROD LTD	AAACH6287B	290508			290508	0.21	290508		290508	0.21		0.21		290508	100.00	290508		
6	HEAVILY ENGINEERING CORPN PVT LTD	AAACH6479H	1380705			1380705	1.01	1380705		1380705	1.01		1.01		1380705	100.00	1380705		

Table III - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sl. No.	Category of Shareholder	PAN	No. of shares held	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of Deposits / Receipts	Total nos. shares held (VI)+(VII)+(VIII)	Shareholding as a % of total nos. of shares (calculated as per SCRR, 1957) (V)/(A+B+C)	Number of Voting Rights held (i) each class of securities			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Exercisable, as a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									Class eg. X	Class eg. Y	Total				No. (a)	As a % of Total Shares held (b)	No. (a)	As a % of Total Shares held (b)	
7	KANTA BHAN PROPERTIES PVT LTD	AABCK0701C	7455	7455			7455	0.01	7455		7455	0.01			0	0.00	0	0.00	7455
8	RUCHIKA ELECTRICAL & ENGINEERS PVT LTD	AADCR1820P	7376735	7376735			7376735	5.39	7376735		7376735	5.39			0	0.00	0	0.00	7376735
9	RAJIVE KAUL (J N BHAN MEMORIAL CHARITY TRUST)	AAAT135566C	171360	171360			171360	0.13	171360		171360	0.13			0	0.00	0	0.00	171360
10	RAJIVE KAUL (G K KHEMKA CHARITY TRUST)	AAATGZ7250Q	342890	342890			342890	0.25	342890		342890	0.25			0	0.00	0	0.00	342890
	Sub-Total (A)(1)		16	50563205	0	0	50563205	36.94	50563205	0	50563205	36.94			0	0.25	9765725	19.31	45563205
	Foreign						0												
	Individuals (Non-Resident/Individuals)						0												
	Name (xyz...)						0												
	Name (xyz...)						0												
	Foreign Portfolio Investor						0												
	Name (xyz...)						0												
	Director						0	0.00	0		0	0.00							
	Name (xyz...)						0												
	Sub-Total (A)(2)																		
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		16	50563205	0	0	50563205	36.94	50563205	0	50563205	36.94			0	0.25	9765725	19.31	45563205

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Prominence" has the same meaning as assigned under regulation 28(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are held etc.

NICCO CORPORATION LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Sl. No.	Category of Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of Shares underlying Depository Receipts	Total no. of Shares held	Shareholding as a % of total no. of shares (A+B+C)	Number of Voting Rights held in each class of shares		Total as a % of (A+B+C)	No. of shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % of the total convertible securities (as a % of diluted state capital) (X) = (V)/(A+B+C2)	Number of Locked in shares (X)		Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity shares held in dematerialized form (XIV)
									Class 'X' of 'Y'	Total				(a)	(b)		
1)	Institutions																
(a)	Medical Funds/ Venture Capital Funds			5	3421		3421	0.00	3421	0	3421	0.00					45
(b)	Alternative Investment Funds																
(c)	Foreign Venture Capital Investors																
(d)	Foreign Portfolio Investors			0	0		0	0.00	0	0	0.00	0.00					0
(e)	Financial Institutions/ Banks			52	2240335		2240335	1.64	2240335	0	2240335	1.64					1588080
(f)	Insurance Companies			0	0		0	0.00	0	0	0.00	0.00					0
(g)	Pension Funds/ Pension Funds																
(h)	Any Other (Specify)																
(i)	Central Government/ State Government/ President of India			57	2243956		2243956	1.64	2243956	0	2243956	1.64					1588125
2)	Individuals																
(a)	Individuals (Shareholders holding nominal share capital in excess of Rs. 2 Lakhs)			62861	29288222		29288222	21.40	29288222	0	29288222	21.40					28786346
(b)	Individuals (Shareholders holding nominal share capital in excess of Rs. 2 Lakhs)			17	11708285		11708285	8.55	11708285	0	11708285	8.55					9428788
(c)	Employee Trusts																
(d)	Overseas Body Corporate			3	8788		8788	0.01	8788	0	8788	0.01					8788
(e)	Body Corporate (Firm/ K. Trusts)			488	43065025		43065025	31.44	43065025	0	43065025	31.44					4131892
(f)	CRISIL (CRISIL) AND ENGINEERS PARTNERSHIP			13377020	13377020		13377020	9.77	13377020	0	13377020	9.77					13377020
(g)	RAJESH ESTEES PARTNERSHIP			3772088	3772088		3772088	2.78	3772088	0	3772088	2.78					3772088

Table III - Statement showing shareholding pattern of the Public shareholder

NICCO CORPORATION LIMITED

Table A1: Statement showing shareholding pattern of the Public shareholder																		
Category	Category of shareholder	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares held in Depository Receipts	Total no. of shares held	Shareholding as a % of (A+B+C2)	Number of Voting Rights (as per each class of securities)			No. of Shares (including Outstanding convertible securities) (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)+(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (Nil)		Number of Shares pledged or otherwise encumbered (Nil)		Number of equity shares held in dematerialized form (Nil)
									Class eq. 'X'	Class eq. 'Y'	Total			(a)	(b)	(a)	(b)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)		(xii)		(xiii)	
	3 ASHU TRADECOM PVT LTD	AADCA8885U		2455980			2455980	1.79										2455980
	ASSET RECONSTRUCTION COMPANY 4 (INDIA) LTD (TRUST HOLDING)	AAECAB878N		15425304			15425304	11.27										15425304
	Name (wrt.)						0	0.00										NA
	(1) If Any Others						0	0.00										NA
	Name (wrt.)						0	0.00										NA
	Sub Total (B)(3)		41491	84070321	0	0	84070321	61.42	0	0	0	0	61.42	0	0	0	0	78276316
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		41491	86314277	0	0	86314277	63.06	0	0	0	0	63.06	0	0	0	0	79814411

Details of the shareholders acting as persons in Contact including their Shareholding (No. and %)
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/uncleared suspense account, voting rights which are frozen etc.

- Note:
- (1) PAN would not be displayed on website of Stock Exchanges
 - (2) The above format needs to be disclosed along with the name of following personal institution/Non Institution holding more than 1% of total number of shares.
 - (3) Wrt. The information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table (A) - Statement showing the shareholding pattern of the Public shareholder

NICCO CORPORATION LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category of shareholder	PAN (i)	Nos. of shareholder s (ii)	No. of fully paid up shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)+(x) As a % of (A+B+C)	Number of Locked In Shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)
									No of Voting Rights Class: eg. X	Class: eg. Y	Total				No. total Shares held (a)	As a % of total Shares held (b)		
Y	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (viii)+(x)	(xii)	(xiii)	(xiv)		
1)	Custodian/DR Holder																	
	Name of DR Holder if (a) available																	
	(i) abc.....																	
	(ii) efg.....																	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																	
	(a) Name (....)																	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter- Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Note: (1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.